

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,281	04/06/2001	E. Neil Lewis	S0001-014002

CONFIRMATION NO. 6341

26653  
KRISTOFER E. ELBING  
187 PELHAM ISLAND ROAD  
WAYLAND, MA 01778

## FORMALITIES LETTER



\*OC00000006143948\*

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2094.
  - \$1854 for 103 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2934.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

10/09/2001 BNGUYEN1 00000041 500750 09828281

01 FC:202	126.00 OP
02 FC:201	370.00 OP
03 FC:203	927.00 OP
04 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

H-T

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



ATTORNEY'S DOCKET NO. S0001-014002

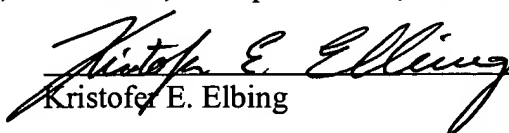
Section #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: E. Neil Lewis et al.  
Serial No.: 09/828,281  
Filed: April 6, 2001  
Title: HYBRID-IMAGING SPECTROMETER

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

The undersigned hereby certifies that this document is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on September 30, 2001.

  
Kristofer E. Elbing

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

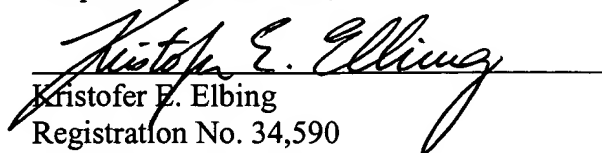
Transmitted herewith are the following documents

- ☒ [X] Copy of Notice to File Missing Parts of Application
- ☒ [X] Declaration
- ☒ [X] Check No. 545 in the amount of \$1,662.00 for the filing fee, missing parts fee and two-month extension
- ☒ [X] Petition for Two-Month Extension
- ☒ [X] Return postcard

Should further questions arise concerning this application, the Examiner is invited to call Applicants' representative at the number listed below. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-0750.

September 30, 2001  
Dated

Respectfully submitted,

  
Kristofer E. Elbing  
Registration No. 34,590  
187 Pelham Island Road  
Wayland, MA 01778  
Telephone: (508) 358-2590  
Facsimile: (508) 358-0714